Effect of Greening Vacant Land on Mental Health of Community-Dwelling Adults
A Cluster Randomized Trial

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Abstract

IMPORTANCE Neighborhood physical conditions have been associated with mental illness and may partially explain persistent socioeconomic disparities in the prevalence of poor mental health.

OBJECTIVE To evaluate whether interventions to green vacant urban land can improve self-reported mental health.

DESIGN, SETTING, AND PARTICIPANTS This citywide cluster randomized trial examined 442 community-dwelling sampled adults living in Philadelphia, Pennsylvania, within 110 vacant lot clusters randomly assigned to 3 study groups. Participants were followed up for 18 months preintervention and postintervention. This trial was conducted from October 1, 2011, to November 30, 2014. Data were analyzed from July 1, 2015, to April 16, 2017.

INTERVENTIONS The greening intervention involved removing trash, grading the land, planting new grass and a small number of trees, installing a low wooden perimeter fence, and performing regular monthly maintenance. The trash cleanup intervention involved removal of trash, limited grass mowing where possible, and regular monthly maintenance. The control group received no intervention.

MAIN OUTCOMES AND MEASURES Self-reported mental health measured by the Kessler-6 Psychological Distress Scale and the components of this scale.

RESULTS A total of 110 clusters containing 541 vacant lots were enrolled in the trial and randomly allocated to the following 1 of 3 study groups: the greening intervention (37 clusters [33.6%]), the trash cleanup intervention (36 clusters [32.7%]), or no intervention (37 clusters [33.6%]). Of the 442 participants, the mean (SD) age was 44.6 (15.1) years, 264 (59.7%) were female, and 194 (43.9%) had a family income less than $25 000. A total of 342 participants (77.4%) had follow-up data and were included in the analysis. Of these, 117 (34.2%) received the greening intervention, 107 (31.3%) the trash cleanup intervention, and 118 (34.5%) no intervention. Intention-to-treat analysis of the greening intervention compared with no intervention demonstrated a significant decrease in participants who were feeling depressed (−41.5%; 95% CI, −63.6% to −5.9%; P = .03) and worthless (−50.9%; 95% CI, −74.7% to −4.7%; P = .04), as well as a nonsignificant reduction in overall self-reported poor mental health (−62.8%; 95% CI, −86.2% to 0.4%; P = .051). For participants living in neighborhoods below the poverty line, the greening intervention demonstrated a significant decrease in feeling depressed (−68.7%; 95% CI, −86.5% to −27.5%; P = .007). Intention-to-treat analysis of those living near the trash cleanup intervention compared with no intervention showed no significant changes in self-reported poor mental health.

Key Points

Question Does the greening of vacant urban land reduce self-reported poor mental health in community-dwelling adults?

Findings In this cluster randomized trial of urban greening and mental health, 110 randomly sampled vacant lot clusters were randomly assigned to 3 study groups. Among 342 participants included in the analysis, feeling depressed significantly decreased by 41.5% and self-reported poor mental health showed a reduction of 62.8% for those living near greened vacant lots compared with control participants.

Meaning The remediation of vacant and dilapidated physical environments, particularly in resource-limited urban settings, can be an important tool for communities to address mental health problems, alongside other patient-level treatments.

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CONCLUSIONS AND RELEVANCE  Among community-dwelling adults, self-reported feelings of depression and worthlessness were significantly decreased, and self-reported poor mental health was nonsignificantly reduced for those living near greened vacant land. The treatment of blighted physical environments, particularly in resource-limited urban settings, can be an important treatment for mental health problems alongside other patient-level treatments.

TRIAL REGISTRATION  isrctn.org Identifier: ISRCTN92582209

Introduction

Almost 1 in 5 US adults report some form of mental illness. Depression is the second largest contributor to years lived with disability in the United States, with more than 16 million adults experiencing an episode annually. Yet patient mental health services only account for an estimated 5% of total medical care spending in the United States. A broadening of treatment options to improve mental health is necessary, including interventions that fundamentally change harmful environmental surroundings that may be key contributors to mental illness.

Neighborhood physical conditions, including vacant or dilapidated spaces, trash, and lack of quality infrastructure such as sidewalks and parks, are associated with depression and are factors that may explain the persistent prevalence of mental illness in resource-limited communities. Vacant and dilapidated spaces are unavoidable neighborhood conditions that residents in low-resource communities encounter every day, making the very existence of these spaces a constant source of stress and possibly mental illness.

However, neighborhood physical conditions can also positively influence mental health. Spending time and living near green spaces have been associated with various improved mental health outcomes, including less depression, anxiety, and stress. Several studies have demonstrated a dose-response relationship between more time spent in green spaces and lower depression rates. Therefore, green space may be a potential buffer between inequitable neighborhood conditions and poor mental health outcomes.

While patient-level therapies for mental illness will always be a vital aspect of treatment, changing the places where people live, work, and play may have broad population-level effects on mental health outcomes. There have been calls for the development of urban environmental interventions to improve mental health outcomes and well-being. In support of this, a number of observational studies have demonstrated the positive effect of vacant land greening interventions on urban health, crime, and stress. However, these prior studies have not been experimental and have not tested mental health outcomes. Given this, we evaluated data from, to our knowledge, the first citywide cluster randomized trial with the objective to test the effects of inexpensive, standardized, and reproducible vacant land remediation interventions—greening and trash cleanup—on health and safety. We report here on the mental health outcomes. Analysis of crime outcomes is reported elsewhere.

Methods

Study Design

This citywide cluster randomized trial of a standardized, reproducible vacant lot greening intervention and vacant lot trash cleanup intervention was conducted in Philadelphia, Pennsylvania. The University of Pennsylvania institutional review board approved this trial. All participants provided written informed consent. All sections of this article were written using the Consolidated...
Standards of Reporting Trials (CONSORT) reporting guideline. The trial protocol can be found in the Supplement.

Vacant Lot Random Sampling and Random Assignment
A master list was compiled of all vacant lots citywide available from the city administrative records throughout January 2011. Vacant lots that were authorized by municipal ordinance as blighted and eligible for the intervention were randomly sampled for the trial. Eligible lots were included if they specifically (1) had existing violations signaling blight, including illegal dumping, abandoned cars, and/or unmanaged vegetation growth; and (2) had been abandoned, as confirmed through contact with the owner of record who, within a 10-day period, either authorized the intervention or did not reply. Owners included the city itself for publicly owned lots. We excluded lots that had insufficient blight or lack of abandonment, lots that were greater than 5500 sq ft, and lots that were fully paved parking lots.

Vacant lot clusters served as the intervention unit for the study. To form these clusters, the master list of eligible vacant lots was ordered based on the assignment of random numbers within 4 sections of the city. In each section of the city, the first vacant lot in the randomly ordered list was chosen as an index lot and a 0.25-mile radius buffer was created around that lot. All other eligible vacant lots on the master list that fell within this radius were used to form a cluster grouping of geographically proximal vacant lots that summed between 4500 to 5500 total sq ft; these lots were then removed from consideration as future index lots. This process then cycled to the next randomly ordered index lot on the list that was at least 0.25 miles away from the edge of prior clusters until all clusters were formed. This process guaranteed that no clusters overlapped, reducing potential spillover and contamination effects across trial arms.

Within each city section, clusters were randomly assigned to 1 of 3 study groups—the greening intervention, trash cleanup intervention, or no intervention (Figure 1). A repeated randomization procedure was used under a predetermined protocol that permitted repeated random allocation of the 3 study groups until a statistically significant balance was achieved with a set of potential confounding variables, including the total area and mean separating distance of the study vacant lots, the total vacant lots, resident population, and number of serious crimes (part I violent and property crimes), in each cluster.

Vacant Lot Interventions and Control Group
The vacant lot greening intervention involved the cleaning and greening of vacant lots via a standard, reproducible process of removing trash and debris, grading the land, planting new grass and a small number of trees, installing a low wooden perimeter fence with openings, and performing regular maintenance (Figure 2). The vacant lot trash cleanup intervention group involved removal of trash and debris, limited grass mowing on the lot where possible, and regular maintenance. The Pennsylvania Horticultural Society designed and carried out the interventions over a 2-month period, from April 1, 2013, to May 31, 2013, followed by monthly maintenance. At the end of the postintervention period, vacant lots assigned to the control condition were scheduled for cleaning and greening.

Random Sampling of Participants
Two preintervention interview survey waves were conducted from October 1, 2011, to March 31, 2013, and 2 postintervention survey waves were conducted from June 1, 2013, to November 30, 2014, with a sample of residents from each cluster. All participants completed at least 1 preintervention survey and 1 postintervention survey. The outer-bounding polygon and its centroid were calculated for each grouping of vacant lots per cluster. This centroid represented the point location that was mathematically closest to all the study vacant lots in each cluster. The address of the closest building to this point location was then determined as the starting point for house-to-house random sampling and enrollment of survey participants. At each starting address, a 2-person
survey team walked in a predetermined random direction on the corresponding city block followed by randomly chosen adjacent city blocks within the cluster until a total of 5 participants had been identified, consented, and were interviewed. Both the survey team and participants were blinded to cluster intervention. Participants were told the study was about improving our understanding of urban health. One participant per household was chosen; in households with multiple eligible participants, the individual with the most recent birthday was chosen. All baseline interviews and most follow-up interviews were conducted in person; a handful of follow-up interviews were conducted by telephone. Both English-speaking and Spanish-speaking individuals 18 years and older were administered the survey in the language of their choice; only 2 Spanish-language surveys were administered. Each participant was compensated $25 per interview, which took an average of 39.6 minutes to complete. Based on the American Association for Public Opinion Research response rate calculator, our survey response rate was 47.4%. Our response rate matched or exceeded that of other surveys and was high enough to produce a reasonably representative sample of our target population.

Outcome Measures
At each interview, participants responded to questions about their perceptions of mental health, focusing on their experiences within the past 30 days to anchor responses in time relative to the intervention period and to avoid telescoping and overestimation. We used the validated short-form Kessler-6 Psychological Distress Scale (K6), a widely used community screening tool. The K6 was designed to evaluate the prevalence of serious mental illness in the community and does not make a
clinical diagnosis of mental illness. Participants were asked to indicate how often they felt nervous, hopeless, restless, depressed, that everything was an effort, and worthless using the following scale: all of the time, most of the time, more than half of the time, less than half of the time, some of the time, or at no time. In keeping with the K6 order and scoring, the 2 middle categories were combined to create a score of 0 to 4 for each marker, which was then summed for a total score of 0 to 24. Using standard scoring guidelines, a score of 13 or greater indicated higher prevalence of serious mental illness or what we call self-reported poor mental health.38,39 Participants self-reported their race and/or ethnicity.

**Statistical Analysis**

Prior to the study, sample size was determined by taking into account anticipated intracluster correlation, participant response prevalence, number of crimes reported to the police in each area, effect size, and power. The minimally detectable effect size, given 80% power and 4 time points based on the group before vs after interaction test for any pairwise comparison among the randomly allocated groups of lots, was calculated.40 From this, and predicting a 25% loss-to-follow-up rate, we estimated that we would maintain more than 80% power if we randomly surveyed 3 people per cluster twice before and twice after the intervention.

Intention-to-treat analyses of participants were conducted according to the randomly assigned vacant lot cluster intervention group in which they lived. Pairwise comparisons were completed for all study outcomes between the greening intervention group and the no intervention group as well as the trash cleanup intervention group and the no intervention group. These pairwise comparisons were tested for statistical significance (all tests were 2-sided and statistical significance was defined as $P \leq 0.05$) using unadjusted random-effects, cross-sectional time series regressions that accounted for the cluster design of the trial. Random-effects regressions were chosen because we assumed that

**Figure 2. Vacant Lot Main Greening Intervention**

A. The image shows the grass seeding method used to rapidly complete the treatment process. B. The after image shows the low wooden perimeter fence. Vacant lots shown here are representative of those in the study, although for purposes of confidentiality are not actual study lots.
unobserved lot-specific effects were correlated over time at the cluster level. All statistical analyses were conducted using Stata, version 14.1 (StataCorp LLC).

Difference-in-differences analyses were calculated as interaction terms of 1-0 intervention-control differences multiplied by 0-1 pre-post differences. These difference-in-differences interaction terms were the primary independent variables of interest interpreted as the true effect of the interventions on the outcomes studied. The estimates from the difference-in-differences analysis were then divided by the overall magnitude of occurrence for each outcome in the intervention group to obtain percentage reductions. Additional subset analyses were also completed by neighborhood poverty levels using the census tracts within which study participants lived. The poverty threshold for 2013 was determined to be $19,530 per the average size of persons per household in Philadelphia and the 2013 poverty guidelines from the US Census Bureau and the Department of Health and Human Services Office of the Assistant Secretary for Planning and Evaluation.42

Results

Vacant Lots and Clusters
The master list included 44,768 vacant lots, 34,149 (76.3%) of which were deemed eligible for inclusion in the study. Ineligible lots were excluded owing to insufficient blight or not being abandoned (4,284), being greater than 5,500 sq ft (3,755), and being existing private or commercial parking lots (2,580). A total of 110 clusters containing 541 vacant lots were enrolled in the trial and randomly allocated to the following 1 of 3 study arms: the greening intervention (37 clusters [33.6%]), the trash cleanup intervention (36 clusters [32.7%]), or no intervention (37 clusters [33.6%]) (Figure 3). Of the clusters, 47 (42.7%) were included in neighborhood poverty subset analysis.

Figure 3. Flowchart of Vacant Lots and Participants Through Vacant Lot Greening Trial

* Vacant lots were classified as blighted if they (1) had existing violations signaling blight, including illegal dumping, abandoned cars, and/or unmanaged vegetation growth; and (2) had been abandoned, as confirmed through contact with the owner of record who, within a 10-day period, either authorized the intervention or did not reply. Those excluded as having insufficient blight or not confirmed as abandoned did not meet these conditions.
Balance was evident at the cluster level between the 3 intervention conditions in terms of total number of study lots per study arm (range, 161-206 lots), the mean number of study lots per cluster (range, 4.5-5.4 lots), the total square footage of study lots per cluster (range, 4844-4935 sq ft), the mean number of residents per cluster (range, 285-297 people), and the mean number of serious crimes, as reported by the Philadelphia Police Department, occurring within each cluster during the 18-month baseline period (range, 16.5-18.3 crimes) (Table 1).

Participant Baseline Characteristics

Of the 442 participants, the mean (SD) age was 44.6 (15.1) years, 264 (59.7%) were female, and 194 (43.9%) had a family income less than $25 000. A total of 442 participants were interviewed during the preintervention period, and 342 (77.4%) of these original participants were interviewed during

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<th>Table 1. Baseline Characteristics Demonstrating Balance Across Study Groupsa</th>
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a Percentages may not total 100% because of nonresponse on specific variables.

b Serious crimes include part I violent and property crimes.
the postintervention period and are included in this analysis. This amounted to a 22.6% loss to follow-up; of the 100 lost participants, 78% could not be found in their original cluster, and 22% refused to participate in subsequent waves. Of the 442 participants, 149 (33.7%) were assigned to the greening intervention, 145 (32.8%) to the trash cleanup intervention, and 148 (33.5%) to no intervention. Of the 342 participants included in the analysis, 117 (34.2%) received the greening intervention, 107 (31.3%) the trash cleanup intervention, and 118 (34.5%) no intervention. A total of 139 people (40.6%) were included in the neighborhood poverty subset analyses, including 45 (32.4%) receiving the greening intervention, 51 (36.7%) the trash cleanup intervention, and 43 (30.9%) no intervention. Participant demographic characteristics were balanced between the 3 study arms, including mean tenure in the home (range, 12.0-13.7 years), mean age (range, 43.3-45.3 years), and percentage with family income less than $25,000 (range, 41.0%-46.9%) (Table 1).

Participant-Reported Mental Health Outcomes

Intention-to-treat analyses demonstrated significant changes in participant-reported mental health outcomes. Intention-to-treat analyses of the greening intervention compared with no intervention demonstrated a significant decrease in feeling depressed (−41.5%; 95% CI, −63.6% to −5.9%; \( P = .03 \)) and feeling worthless (−50.9%; 95% CI, −74.7% to −4.7%; \( P = .04 \)). Analysis also demonstrated a nonsignificant reduction in overall self-reported poor mental health (−62.8%; 95% CI, −86.2% to 0.4%; \( P = .051 \)), as calculated by the K6 (Table 2). When looking only at neighborhoods below the poverty line, feeling depressed significantly decreased (−68.7%; 95% CI, −86.5% to −27.5%; \( P = .007 \)). There was no significant difference in self-reported poor mental health in neighborhoods below the poverty line.

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<th>Table 2. Intention-to-Treat Analyses of Vacant Lot Interventions and Self-reported Mental Health Outcomes</th>
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a Participants focused on their experiences within the past 30 days. Possible responses were all of the time, most of the time, more than half of the time and/or less than half of the time, some of the time, or at no time; percentages are the proportion of participants responding “less than half the time” or “more often.”

b Kessler-6 Psychological Distress Scale mental illness score ranged from 0 to 24, with each of the 6 components ranging from 0 to 4; scores of 13 or greater indicated poor self-reported mental health.

c Neighborhood poverty levels were determined using the census tracts within which study participants lived.

Intention-to-treat analyses of the trash cleanup intervention compared with no intervention did not show any statistically significant differences between self-reported poor mental health measured by the K6 (Table 2). There was also no difference between groups for the individual components of the K6. The analysis of neighborhoods below the poverty line also did not indicate any difference in self-reported mental health between the groups.

**Discussion**

In this citywide cluster randomized trial of 2 vacant land remediation interventions, greening was associated with a significant reduction in feeling depressed and worthless as well as a nonsignificant reduction in overall self-reported poor mental health for randomly sampled residents living nearby. The trash cleanup intervention was not associated with a reduction in feeling depressed or self-reported poor mental health.

To our knowledge, this is the first citywide cluster randomized trial of actual place-based changes to urban spaces. These results add much needed experimental evidence to a growing body of literature calling for structural changes to neighborhoods as a method for improving health and safety. This study extends previous work showing a clear association between green space and mental illness, by demonstrating that adding green space to people’s neighborhood environment can improve the trajectory of their mental health. Additionally, vacant lot greening is a relatively low-cost intervention (approximately $1597 per vacant lot and $180 per year to maintain) that we have previously shown to be a cost-beneficial solution to firearm violence. For these reasons, vacant lot greening may be an extremely attractive intervention for policy makers seeking to address urban blight.

Our findings indicate that the effect of vacant lot greening on feeling depressed was slightly stronger for those living in neighborhoods below the poverty line. Urban blight is an environmental condition that disproportionately affects low-resource neighborhoods, as evidenced by the fact that almost half of our participants had yearly family incomes less than $25,000. Making structural changes to the lowest-resource neighborhoods can make them healthier and may be an important mechanism to address persistent and entrenched socioeconomic health disparities.

There are several possible mechanisms through which the vacant lot greening intervention but not the trash cleanup intervention improved feeling depressed and self-reported poor mental health. One significant difference between the 2 interventions was the creation of new green space. Green space, particularly in urban environments more likely to have a dearth of vegetation, has been linked to recovery from mental fatigue, a state of inattentiveness and irritability resulting from the information-processing demands of daily life. Spending time in or near nature can combat mental fatigue because it allows engagement without paying explicit attention. A related concept is the association between spending time in or near green space and stress reduction, which may in turn reduce mental illness. For example, walking past green space has been associated with reduction in heart rate, one marker of acute physiological stress.

Additionally, the presence of green space is associated with improved neighborhood social milieu, including the concepts of social cohesion, social capital, and collective efficacy. The presence of grass and trees is related to use of outdoor space and increased social activity that takes place in those outdoor spaces. Improved social conditions are, in turn, associated with better mental health. For example, living in a low-income neighborhood is associated with worse mental health indicators for people with low but not high social cohesion. Studies have found that social cohesion mediated a positive green space–mental health relationship. Additionally, previous studies have demonstrated an association of vacant lot greening with increased feelings of safety and decreased violent crime, both of which may work to improve mental illness. Fear of crime, for example, is associated with almost 2-fold higher likelihood of having depression.

The other significant difference between the greening and trash cleanup interventions was the presence of a simple wooden post and rail fence. The fence delineates the newly greened space as
Limitations
There were several limitations to this study. We used the K6 to measure our outcome of interest and mental health. While this is a validated and widely used scale, it is still a single scale, and other mental illness screening and diagnosis tools and scales may produce different results. Furthermore, we did not conduct a Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition-level diagnosis of mental illness but rather used a community screening tool. Another limitation is the duration of our study and loss to follow-up. We followed up people for 18 months following the blight remediation interventions and are unable to know if the effect of the interventions on mental health outcomes persisted past the study period. We also made every effort to minimize loss to follow-up of our study participants after they were first enrolled, although differential, nonrandom dropout in our 3 study arms and across all study waves could have affected our results. Finally, we did not specifically track if and how study participants used (or did not use) study vacant lots, although prior work has demonstrated signs of use, such as barbeques or chairs on similar vacant lots.62

Conclusions
Among community-dwelling adults, self-reported feelings of depression and worthlessness were significantly decreased and self-reported poor mental health was nonsignificantly reduced for those living near greened vacant lots compared with control lots. The treatment of dilapidated physical environments can be an important tool for communities to address persistent mental health problems. These findings provide support to health care clinicians concerned with positively transforming the often chaotic and harmful environments that affect their patients. Our findings also offer evidence to policy makers interested in increasing municipal investments in the remediation of blighted urban spaces as an inexpensive29 and scalable way to improve mental health, particularly in low-resource neighborhoods.
Author Contributions: Drs MacDonald and Branas had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

Concept and design: South, Hohl, MacDonald, Branas.

Acquisition, analysis, or interpretation of data: All authors.

Drafting of the manuscript: South, Hohl, Branas.

Critical revision of the manuscript for important intellectual content: Hohl, Kondo, MacDonald, Branas.

Statistical analysis: MacDonald, Branas.

Obtained funding: Branas.

Administrative, technical, or material support: Hohl, Kondo, Branas.

Supervision: MacDonald, Branas.

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Role of the Funder/Sponsor: The funders had no role in the design and conduct of the study; collection management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; or decision to submit the manuscript for publication.

Additional Contributions: Philippe Bourgois, PhD (University of California, Los Angeles), contributed to the study design and execution; Keith Green (Pennsylvania Horticulture Society, Philadelphia), study intervention design and implementation; Jamillah Millner, BA (University of Pennsylvania, Philadelphia), participant recruitment, enrollment, and retention; Vicky Tam, MA (University of Pennsylvania, Philadelphia), geospatial planning and implementation; Douglas Wiebe, PhD (University of Pennsylvania, Philadelphia), study design and execution; and Jeremy Levenson, BA (University of California, Los Angeles), study execution. These individuals were affiliated with this project and key to its success. Drs Bourgois and Wiebe and Ms Millner and Tam received salary support for their contribution. Mr Green's organization received funds to perform the intervention. Mr Levenson received compensation for his field work.

REFERENCES


**SUPPLEMENT.**

**Trial Protocol**