Comparing Alternative Ranibizumab Dosages for Safety and Efficacy in Retinopathy of Prematurity: A Randomized Clinical Trial

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IMPORTANCE Anti–vascular endothelial growth factor (VEGF) therapies are a novel treatment option in retinopathy of prematurity (ROP). Data on dosing, efficacy, and safety are insufficient.

OBJECTIVE To investigate lower doses of anti-VEGF therapy with ranibizumab, a substance with a significantly shorter systemic half-life than the standard treatment, bevacizumab.

DESIGN, SETTING, AND PARTICIPANTS This randomized, multicenter, double-blind, investigator-initiated trial at 9 academic medical centers in Germany compared ranibizumab doses of 0.12 mg vs 0.20 mg in infants with bilateral aggressive posterior ROP; ROP stage 1 with plus disease, 2 with plus disease, or 3 with or without plus disease in zone I; or ROP stage 3 with plus disease in posterior zone II. Patients were recruited between September 2014 and August 2016. Twenty infants were screened and 19 were randomized.

INTERVENTIONS All infants received 1 baseline ranibizumab injection per eye. Reinjections were allowed in case of ROP recurrence after at least 28 days.

MAIN OUTCOMES AND MEASURES The primary endpoint was the number of infants who did not require rescue therapy at 24 weeks. Key secondary endpoints included time-to-event analyses, progression of physiologic vascularization, and plasma VEGF levels. Stages of ROP were photodocumented and reviewed by an expert committee.

RESULTS Nineteen infants with ROP were enrolled (9 [47.4%] female; median [range] postmenstrual age at first treatment, 36.4 [34.7-39.7] weeks), 3 of whom died during the study (1 in the 0.12-mg group and 2 in the 0.20-mg group). Of the surviving infants, 8 (88.9%) (17 eyes [94.4%]) in the 0.12-mg group and 6 (85.7%) (13 eyes [92.9%]) in the 0.20-mg group did not require rescue therapy. Both ranibizumab doses were equally successful in controlling acute ROP (Cochran-Mantel-Haenszel analysis; odds ratio, 1.88; 95% CI, 0.26-13.49; P = .53). Physiologic intraretinal vascularization was superior in the 0.12-mg group. The VEGF plasma levels were not systematically altered in either group.

CONCLUSIONS AND RELEVANCE This pilot study demonstrates that ranibizumab is effective in controlling acute ROP and that 24% of the standard adult dose (0.12 mg) appears equally effective as 40% (0.20 mg). Superior vascularization of the peripheral retina with 0.12 mg of ranibizumab indicates that the lower dose may be favorable. Unchanged plasma VEGF levels point toward a limited systemic drug exposure after ranibizumab.

Retinopathy of prematurity (ROP) can lead to bilateral blindness in early infancy. Disturbed blood vessel growth is at the core of ROP pathophysiology. The aim of all current ROP treatments is therefore to prevent or reverse pathologic blood vessel growth while ideally fostering the expansion of physiologic retinal vasculature into the retinal periphery. Both pathologic and physiologic vascular growth in the retina are driven by angiogenic growth factors, mainly vascular endothelial growth factor (VEGF). As a consequence, pharmacologic approaches that target VEGF are being evaluated. The largest anti-VEGF study in ROP to date, the BEAT-ROP (Efficacy of Intravitreal Bevacizumab for Stage 3+ Retinopathy of Prematurity) trial, found that bevacizumab, a full-size anti-VEGF antibody, can halt the progression of severe ROP, revert pathologic angiogenic changes, and induce the progression of physiologic intraretinal vasculature.

There are, however, several concerns with regard to anti-VEGF therapy in ROP. It is, for example, known that intravitreally injected drugs leak into the systemic circulation. A single dose of intravitreally injected bevacizumab suppresses VEGF plasma levels below the limit of detection for weeks. It is unknown what adverse effects such systemic VEGF suppression has on organ development. Long-term systemic safety of anti-VEGF drugs is therefore an important question in ROP.

The second unresolved question relates to anti-VEGF dosing. The BEAT-ROP trial used half the adult bevacizumab dose per eye. A recent study found that significantly lower bevacizumab doses are effective in ROP.

The aim of this study was to evaluate, in a prospective randomized trial, the efficacy and safety of 2 doses of ranibizumab for ROP. Unlike bevacizumab, ranibizumab is an anti-VEGF antibody fragment with a systemic half-life of hours rather than days. The effect of ranibizumab on systemic VEGF levels is therefore expected to be limited. In addition, this study investigates 2 different ranibizumab doses that are both lower than 50% of the standard adult dose.

Methods

Study Design
The Comparing Alternative Ranibizumab Dosages for Safety and Efficacy in Retinopathy of Prematurity (CARE-ROP) study is a randomized, multicenter, prospective, double-blind, 2-arm, parallel-group, phase 2, investigator-initiated trial. The study was approved by the German Health Authorities (Paul Ehrlich Institute) and the ethics committees in line with the guidelines provided by the International Conference on Harmonisation Tripartite Guideline for Good Clinical Practice and the Declaration of Helsinki. Written informed consent was obtained from all legal representatives. The trial protocol is included in Supplement 1.

Study End Points
The primary end point was the number of infants without need for rescue therapy until 24 weeks after initial treatment. Rescue therapy was defined as the need for either laser photocoagulation or 0.2-mg ranibizumab reinjection within 4 weeks after study treatment or the need for laser treatment at any other time point. Note that for the full analysis set, infants who died were considered as having received rescue therapy.

Key Points

Question Can anti–vascular endothelial growth factor (VEGF) therapy in retinopathy of prematurity (ROP) be improved by using ranibizumab given at lower doses than the standard treatment, bevacizumab, thus alleviating systemic safety concerns while effectively treating disease?

Findings In this randomized clinical trial with 20 patients, both investigated ranibizumab doses were lower than the current standard bevacizumab dose for ROP. Both ranibizumab doses were effective in controlling acute ROP (17 of 18 per protocol treated eyes [94%] with 0.12 mg and 13 of 14 per protocol treated eyes [93%] with 0.20 mg); systemic VEGF levels remained unchanged.

Meaning Low-dose ranibizumab is effective in treating acute ROP without suppressing plasma VEGF levels.

Patients
Nine academic centers in Germany were activated for CARE-ROP and 6 recruited patients. Patients with bilateral ROP in zone I (stages 1 with plus disease, 2 with plus disease, 3 with or without plus disease and aggressive posterior ROP) or posterior zone II (stage 3 with plus disease or aggressive posterior ROP) were eligible. Stages of ROP were graded according to the International Classification of Retinopathy of Prematurity. Inclusion and exclusion criteria are provided as eTable 1 in Supplement 2. The CARE-ROP trial was a pilot study with no formal trial size calculation. Between September 5, 2014, and July 14, 2016, 20 patients were screened and 19 were enrolled (Figure 1).

RetCam Documentation and Data Safety Monitoring
RetCam (Clarity Medical Systems) photographs were acquired at baseline and throughout the study. All baseline images were evaluated by the data and safety monitoring board (DSMB) to confirm correct enrollment. If the DSMB had not approved enrollment, an infant would have been excluded from the analysis and the event would have been regarded as screening failure. In addition to confirming correct enrollment, the DSMB regularly reviewed all serious adverse events.
Randomization and Treatment
Gestational age at birth is 1 of the major risk factors for ROP.\textsuperscript{17} Infants were therefore stratified by gestational age into 2 groups (≤25 and >25 weeks). Two randomization lists were created off-site at a contract research organization, and patients were randomized 1:1 using an online tool. In the low-dose group, 20 μL of 6 mg/mL ranibizumab was administered per eye (0.12 mg [equivalent to 24% of the standard adult dose]). In the high-dose group, 20 μL of 10 mg/mL ranibizumab was administered per eye (0.20 mg [equivalent to 40% of the standard adult dose]). Investigators and legal representatives were masked to the injected dose.

VEGF Measurements
Venous blood samples were collected following a defined protocol (eFigure 1 in Supplement 2). A buffer of citrate, theophylline, adenosine, and dipyridamole (CTAD) was used to avoid thrombolysis.\textsuperscript{18,19} Enzyme-linked immunosorbent assay (ELISA) measurements were performed using the Human VEGF Quantikine ELISA Kit (R&D Systems) at the Natural and Medical Sciences Institute, Reutlingen, Germany. Values below the lowest value of the ELISA standard curve (15.6 pg/mL) were replaced by half this value.

Statistical Analysis
Analysis for differences in the primary endpoint was performed using the Cochran-Mantel-Haenszel test, and odds ratios were estimated, adjusting for gestational age. Analyses per eye were performed assuming a stratified cluster sampling design with patients equivalent to clusters, eyes equivalent to units within clusters, and gestational age equivalent to strata, using Taylor series linearization for variance estimation. Differences per eye were analyzed using the Rao-Scott χ² test, and odds ratios were estimated. For percentages, (modified) Clopper-Pearson confidence intervals were calculated.

Results
Patient Disposition and Baseline Characteristics
During the 2-year study period (September 2014 to August 2016), 20 patients were screened. One infant had disease that did not meet ROP severity criteria bilaterally and was excluded, resulting in 19 infants (9 [47.4%] female; median [range] postmenstrual age at first treatment, 36.4 [34.7-39.7] weeks) randomized for the trial (10 to the 0.12-mg ranibizumab group and 9 to the 0.20-mg group). One infant in the 0.12-mg group and 2 infants in the 0.20-mg group died before the primary endpoint. Death occurred 101 days or more after ranibizumab treatment in all 3 infants (Figure 1 and eTable 2 in Supplement 2). A causal relationship to the study treatment was not suspected in any of the 3 deaths. Medical history and adverse events of all infants who died are provided in eTable 3 in Supplement 2. No major protocol violations were noted during the course of the study. All minor protocol deviations are listed in eTable 4 in Supplement 2.

Gestational age at birth and postmenstrual age at first treatment were comparable between groups (Table 1). Stage 3 ROP severity criteria of ROP stage or location was considered for analysis of primary end point.

Significance of *P* ≤.05 was based on a 2-tailed test, and significance of *P* ≤.01 was based on a 2-tailed test.
with plus disease in posterior zone II was the most prevalent disease stage at baseline in both groups. Occurrence of ROP in zone I was more prevalent in the 0.12-mg ranibizumab group than in the 0.20-mg ranibizumab group (5 of 20 eyes [25.0%] vs 3 of 18 eyes [16.7%], respectively). Apgar scores at 1, 5, and 10 minutes were comparable (eFigure 2 in Supplement 2).

**Primary End Point and Treatment Response**

The predefined primary end point was the number of infants without need for rescue therapy until 24 weeks after initial treatment. In the per protocol set, this end point was met by 8 infants (88.9%) in the 0.12-mg group and 6 infants (85.7%) in the 0.20-mg group (Table 2). When analyzed per eye, 17 of 18 eyes in the 0.12-mg per protocol group (94.4%) and 13 of 14 eyes in the 0.20-mg per protocol group (92.9%) reached 24 weeks posttreatment without need for rescue therapy (Table 2). There was no statistically significant difference between the 2 groups (Cochran-Mantel-Haenszel analysis; odds ratio, 1.88; 95% CI, 0.26-13.49; \( P = .53 \)). The same is true in the full analysis set in which infants who died were considered as having received bilateral rescue therapy (eTable 5 in Supplement 2). Hazard ratios comparing the 2 groups were 0.89 (95% CI, 0.06-14.36) for the per protocol set and 0.75 (95% CI, 0.05-11.97) for the full analysis set (eTable 6 in Supplement 2). When both groups are combined, ranibizumab was effective in 14 of 16 surviving infants (87.5%) or 30 of 32 eyes (93.8%). No infant with gestational age greater than 25 weeks required rescue therapy. Outcomes for all eyes relative to their baseline ROP severity are shown in eTable 7 in Supplement 2. Twelve of 20 eyes in the 0.12-mg group (60.0%) and 10 of 18 eyes in the 0.20-mg group (55.6%) had no ROP at the final visit. All remaining eyes had ROP stage 1 in anterior zone II or zone III at the final visit.

**Table 2. Per Protocol Set Primary Outcome Analysis per Patient and per Eye**

<table>
<thead>
<tr>
<th>Incidence Without Need for Rescue Therapy</th>
<th>No. (%) (95% CI)</th>
<th>Received 0.12 mg of Ranibizumab</th>
<th>Received 0.20 mg of Ranibizumab</th>
<th>Total</th>
<th>Odds Ratio (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patients (n = 9)</td>
<td></td>
<td>(n = 7)</td>
<td>(n = 16)</td>
<td></td>
<td>NA</td>
</tr>
<tr>
<td>All patients</td>
<td>8 (88.9; 51.8-99.7)</td>
<td>6 (85.7; 42.1-99.6)</td>
<td>14 (87.5; 61.7-98.4)</td>
<td>1.00a (0.05-22.18)</td>
<td></td>
</tr>
<tr>
<td>GA ≤25 wk</td>
<td>4 (80.0; 28.4-99.5)</td>
<td>4 (80.0; 28.4-99.5)</td>
<td>8 (80.0; 44.9-97.5)</td>
<td>1.00 (0.05-22.18)</td>
<td></td>
</tr>
<tr>
<td>GA &gt;25 wk</td>
<td>4 (100.0; 39.0-100.0)</td>
<td>2 (100.0; 15.8-100.0)</td>
<td>6 (100.0; 54.1-100.0)</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>Eyes (n = 18)</td>
<td></td>
<td>(n = 14)</td>
<td>(n = 32)</td>
<td></td>
<td>NA</td>
</tr>
<tr>
<td>All patients</td>
<td>17 (94.4; 72.7-99.9)</td>
<td>13 (92.9; 66.1-99.8)</td>
<td>30 (93.8; 79.0-99.3)</td>
<td>1.31b (0.05-31.77)</td>
<td></td>
</tr>
<tr>
<td>GA ≤25 wk</td>
<td>9 (90.0; 55.5-99.7)</td>
<td>9 (90.0; 55.5-99.7)</td>
<td>18 (90.0; 68.3-98.8)</td>
<td>1.00 (0.05-22.06)</td>
<td></td>
</tr>
<tr>
<td>GA &gt;25 wk</td>
<td>8 (100.0)c</td>
<td>4 (100.0)c</td>
<td>12 (100.0)c</td>
<td>NA</td>
<td></td>
</tr>
</tbody>
</table>

* Number of patients and eyes without rescue therapy up to week 24. The data show effective treatment in most patients in both groups with no statistical difference between the 2 doses. On a patient level, 88.9% of per protocol treated infants in the 0.12-mg and 85.7% in the 0.20-mg group did not require rescue therapy in any eye. When analyzed per eye, 94.4% of per protocol treated eyes in the 0.12-mg group and 92.9% in the 0.20-mg group did not require rescue therapy. In the stratum of infants born after gestational week 25, no eye required rescue therapy in either group. Results for the full analysis set confirm this data and can be found in Supplement 2. For difference between treatment groups per patient (Cochran-Mantel-Haenszel test) \( P > .99 \). For difference between treatment groups per eye (Rao-Scott \( \chi^2 \) test) \( P > .86 \). For eyes, confidence limits and \( P \) values are calculated assuming a stratified cluster sampling design with patients equivalent to clusters, eyes equivalent to units within clusters, and GA equivalent to strata, using the Taylor series linearization method for variance estimation. For percentages, modified Clopper-Pearson confidence limits are calculated.

a Odds ratio estimate adjusted for GA (Mantel-Haenszel estimate).

b 95% CIs cannot be calculated because 100% of eyes met the primary end point.
resolution of ROP (Figure 2D and E). Importantly, all graphs in Figure 2B, C, D, and E display a time-to-event analysis for the respective parameters’ first disappearance. Recurrences are not captured in this figure but are discussed separately.

With regard to treatment response, both ranibizumab doses show comparable time courses. A detailed outcome list for all patients is provided in eTable 8 in Supplement 2.

**Rescue Therapy**

Two eyes required rescue therapy. Details are listed in eTable 9 in Supplement 2. Both rescue therapies occurred at comparable time points after baseline (14 and 17 days). Both treated eyes responded well to rescue therapy and had fully resolved ROP at the final visit. The contralateral eye of each of the 2 patients had responded to study treatment and did not require rescue therapy.
Disease Recurrence and Retreatments

Recurrences of ROP activity are common after anti-VEGF treatment.\textsuperscript{18-20} Figure 3 displays a time-to-event analysis for recurrence of several ROP components and typical images of an eye receiving retreatment (Figure 3A). It is interesting to note that reappearance of a preretinal ridge is quite common (Figure 3D). Recurrence of more severe signs of ROP (plus disease and active proliferations) were observed less frequently (Figure 3B and C). Recurrence of any ROP stage was more prevalent in the 0.20-mg group (Figure 3E). Two infants in each group (8 eyes [21.1%]) had recurrences that were severe enough to warrant retreatment. In all other
eyes, ROP recurrence was transient and did not require retreatment.

Retreatments after an initial response are very different entities from rescue treatments. The CARE-ROP protocol allowed retreatment if an initial treatment response of at least 4 weeks had been observed. Details of all retreatments are listed in eTable 10 in Supplement 2. Mean (SD) time between initial treatment and retreatment was 87 (18) days in the 0.12-mg group and 53 (9) days in the 0.20-mg group. One infant in the 0.20-mg group required a second retreatment 71 days after the first. Final outcome at the end of the study for eyes with retreatment was either no ROP or stage I ROP in anterior zone II or zone III. No rescue therapy was necessary following retreatment.

**Progression of Physiologic Vascularization**

Only vascularized parts of the retina have the potential to contribute to visual function. In addition, progression of the physiologic retinal vasculature reduces retinal ischemia and minimizes the risk of ROP recurrence. The data in eFigure 4 in Supplement 2 show that physiologic vascularization appears to proceed faster and complete vascularization is reached more frequently in eyes receiving lower anti-VEGF doses. Eleven eyes (55.0%) had full vascularization in the 0.12-mg group, and only 3 eyes (16.7%) had full vascularization in the 0.20-mg group.

**VEGF Measurements and Safety Parameters**

Mean VEGF levels were not reduced after ranibizumab treatment in either group (eFigure 5 in Supplement 2). A detailed list of all VEGF levels is provided in eTable 11 in Supplement 2. Vital signs and growth values were documented as additional safety parameters and were comparable between groups (eFigure 6, eFigure 7, and eFigure 8 in Supplement 2). Number and type of serious adverse events were also not different between groups (eTable 12 in Supplement 2).

**Supplementary Oxygen**

eTable 13 in Supplement 2 shows oxygen supplementation before and after study enrollment. The need for supplementary oxygen was comparable for both groups before treatment, but infants in the 0.20-mg ranibizumab group required longer oxygen supplementation after treatment than infants in the 0.12-mg group, with a median of 83 vs 19 days of oxygen by nasal cannula. Target oxygen ranges were comparable (eTable 13 in Supplement 2).

**Discussion**

To our knowledge, the CARE-ROP study is the first randomized clinical trial comparing 2 different doses of ranibizumab for ROP. Control of ROP without need for rescue therapy was achieved in 14 of 16 surviving infants (87.5%) (30 of 32 eyes [93.8%]), and there was no significant difference between the 2 dose groups regarding primary end point outcomes. The study compared 40% vs 24% of the standard adult ranibizumab dose. Both doses are lower than the 50% adult bevacizumab dose that is most frequently used in off-label ROP therapy.17,22 Our results demonstrate that ranibizumab is effective in controlling acute ROP and that 24% of the standard adult ranibizumab dose appears to be as effective as 40%.

In each study group, 1 eye showed insufficient response to ranibizumab treatment and required rescue therapy. Both eyes receiving rescue therapy showed fully resolved ROP at week 24. Unlike their respective contralateral eyes, the 2 eyes that received rescue therapy did not achieve full retinal vascularization to the ora serrata. This is because laser treatment was applied as rescue therapy. Laser therapy renders further vascular development as well as visual function impossible in the treated areas. This is particularly relevant when central parts of the retina are affected (ie, ROP in zone I or posterior zone II). After anti-VEGF therapy, in contrast, physiologic vascular growth can resume its course toward the ora serrata.23,24

Achieving full retinal vascularization is highly desirable after anti-VEGF treatment for ROP because it maximizes the retinal area that is able to contribute to visual function. In addition, a fully vascularized retina is less likely to generate recurrences of acute ROP triggered by VEGF overexpression from avascular areas. In the 0.12-mg ranibizumab group, full vascularization was achieved in 11 eyes (55.0%) vs only 3 eyes (16.7%) in the 0.20-mg group. This is in line with data from preclinical animal models showing that higher anti-VEGF doses can impede physiologic vascularization.23 Assessment of peripheral vascularization was performed by ophthalmoscopy in our study. Fluorescein angiograms were not performed.

Four infants (8 eyes [21.1%]) in our study showed recurrence of ROP requiring retreatment. This proportion is higher than in the BEAT-ROP population but comparable to several other studies.4,17,25,26 Recurrence of ROP was equally distributed across the 2 dose groups. It is important to note that retreatments are very different in nature from rescue therapy. Retreatments imply an initial response to the first dose of ranibizumab that is then followed by recurrence of acute ROP at a later time. Retreatments were an integral part of the CARE-ROP protocol. The idea behind allowing anti-VEGF retreatments is that they allow an anti-VEGF dose titration: most eyes will be sufficiently treated with 1 (low) anti-VEGF dose, while others will require 1 or more reinjections (spread over several months). From a pharmacodynamic point of view, this approach may be preferable over a single high-dose anti-VEGF bolus being applied uniformly to all eyes. Final outcomes for eyes receiving retreatment in our study were either no ROP or stage I ROP at 24 weeks after baseline. Because ROP recurrences are possible beyond week 24,18-20,27 all eyes without full intraretinal vascularization are being followed up beyond the primary end point. It is important to note that re-appearance of a demarcation line or preretinal ridge without active proliferations or plus disease is quite frequent after anti-VEGF therapy. These stages are often self-limiting and generally do not require retreatment unless active proliferations, visible traction, or plus disease occur.

Beyond being effective, any pharmacologic ROP treatment must demonstrate ocular and systemic safety. No anti-VEGF substance is currently approved for ROP treatment, and reliable clinical safety data are rare. Nevertheless, anti-VEGF therapy is being used with increasing frequency.17 To our knowledge, this study is the first to systematically report safety
parameters from a double-blind prospective clinical anti-VEGF trial in ROP. Overall, 3 patients died in CARE-ROP. None of the 3 deaths was suspected to be related to the study treatment. All deaths occurred at least 101 days (14 weeks) after ranibizumab treatment, and none of these infants had received more than the baseline ranibizumab injections. Two of the 3 infants who died had no sign of active ROP at their last visit, and 1 infant had even achieved complete vascularization to the ora serrata bilaterally. There were no deaths among infants who required multiple injections. Serious and nonserious adverse events were distributed evenly between the 2 study groups. Long-term functional outcome parameters from the CARE-ROP population will become available after the scheduled follow-up examinations at 1, 2, and 5 years after treatment. These will entail both ophthalmologic as well as standardized pediatric examinations.

An important aspect regarding systemic safety stems from the fact that a single intravitreal anti-VEGF injection can suppress systemic VEGF levels for weeks.5,28 The implications of such long-term systemic VEGF suppression on organ development are unknown. We hypothesized that ranibizumab may be advantageous in this regard because ranibizumab has a systemic half-life of hours vs days for bevacizumab.15 To measure free plasma VEGF we used a CITAD buffer, which was shown to be superior over ethylenediaminetetraacetic acid (EDTA) in preventing thrombolysis.29,30 Our VEGF values are therefore generally lower compared with other studies and reflect only free plasma VEGF, unaffected by VEGF from thrombolysis. In both our groups, there was no sustained suppression of systemic VEGF levels. These results demonstrate that systemic VEGF suppression is less prevalent after ranibizumab than it is after bevacizumab.

Limitations

Limitations of our study include the small number of patients, a relatively early primary end point (with scheduled 5-year follow-up), and the fact that doses lower than 0.12 mg were not evaluated.

Conclusions

Combined, the presented data provide evidence that ranibizumab is effective in treating ROP in 2 different doses that are both lower than the current anti-VEGF standard dose. Physiologic intraretinal vascularization to the ora serrata was favorable in the 0.12-mg vs the 0.20-mg group, and systemic VEGF was not suppressed in either group.
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